FinSA Client information

Based on the legal requirements of Art. 8ff. of the Financial Services Act (FINSA), we would like to supply you with this information sheet which provides an overview of Oyat Advisors GmbH (hereinafter referred to as the «financial institution») and its services.

A. Company information

Address

Street: Gotthardstrasse 51
Zip Code/City: 8002 / Zürich
Email: info@oyat.com
Website: www.oyat.com

The financial institution was established in 2008.

Supervisory authority and audit firm

The financial institution has been licensed as a portfolio manager in accordance with the Swiss Financial Market Supervision Act (FinIA) since 2021 and is therefore subject to prudential supervision by the Swiss Financial Market Supervisory Authority FINMA. As part of this supervision, the financial institution is audited by SWA Swiss Auditors AG both in terms of regulatory law and obligations. The address of FINMA and SWA Swiss Auditors AG can be found below.

Supervisory organization: Swiss Financial Market Supervisory Authority FINMA
Street: Laupenstrasse 27
Zip Code / City: 3003 / Bern
Phone: +41 31 327 91 00
Email: info@finma.ch
Website: https://www.finma.ch/en/

Supervisory organization: OSFINcontrol AG
Street: General-Guisan-Strasse 6
Zip Code / City: 6300 / Zug
Phone: +41 41 767 36 00
Email: info@fincontrol.ch
Website: https://www.osfincontrol.ch/en

Audit Firm Name: SWA Swiss Auditors AG
Address: Bahnhofstrasse 3
Zip Code / City: 8808 / Pfäffikon SZ
Phone: +41 55 415 54 70
Email: info@swa-audit.ch
Website: www.swa-audit.ch

Ombudsman

The financial institution is affiliated with the independent ombudsman Financial Services Ombudsman (FINSOM), which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the financial services provider should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of Financial Services Ombudsman (FINSOM) is stated below.

Name Ombudsman: Financial Services Ombudsman (FINSOM)
Address: Avenue de la Gare 66
Zip Code / City: 1920 / Martigny
Phone: +41 27 564 04 11
Email: info@finsom.ch
Website: https://finsom.ch/en/

B. Information on the offered financial services

The financial institution provides portfolio management services to its clients.

The financial institution also provides financial services in collective investment schemes. For further information on the various collective investment schemes, the general risks, specifications, and operating procedures, please refer to the relevant prospectuses and factsheets on this website.

The financial institution does not guarantee any yield nor performance of investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

C. Client segmentation

Financial service providers are required to classify their clients into a client segmentation according to the law and adhere to the respective code-of-conduct. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» segments. For each client, a client classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting out.

D. Information on risks and costs

General risks associated with financial instruments transactions

The portfolio management services involve financial risks. Clients of the financial institution may contact their client advisor at any time if they have any questions.

Risks associated with the offered services

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant investment advisory or portfolio management agreements.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management. For more detailed information, please refer to the relevant investment advisory or portfolio management agreements.

E. Information about relationships with third parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. The acceptance of payments from third parties as well as their treatment are regulated in detail and comprehensively in the respective investment advisory and asset management contracts.