FinSA Client Information

Based on the legal requirements of Art. 8 et seq. of the Swiss Financial Services Act (FinSA), we would like to supply you with this information sheet which provides an overview of Oyat Advisors GmbH (hereinafter referred to as the «financial institution») and its services.

A. Company Information

Address

Street: Gotthardstrasse 51
Zip Code / City: 8002 / Zürich
Email: info@oyat.com
Website: www.oyat.com

The financial institution was established in 2008.

Supervisory authority, supervisory organization and audit firm

The financial institution has been licensed as a portfolio manager by the Swiss Financial Market Supervisory Authority FINMA under the Swiss Financial Institutions Act (FinIA) since 2021. It is subject to ongoing supervision by the supervisory organization OSFINcontrol AG, which is authorised by FINMA. The regulatory audit is carried out by SWA Swiss Auditors AG. The addresses of FINMA, OSFINcontrol AG and SWA Swiss Auditors AG are given below.

Supervisory authority: Swiss Financial Market Supervisory Authority FINMA
Street: Laupenstrasse 27
Zip Code / City: 3003 / Bern
Phone: +41 31 327 91 00
Email: info@finma.ch
Website: https://www.finma.ch/en/

Supervisory organization: OSFINcontrol AG
Street: General-Guisan-Strasse 6
Zip Code / City: 6300 / Zug
Phone: +41 41 767 36 00
Email: info@osfincontrol.ch
Website: https://www.osfincontrol.ch/en

Audit firm: SWA Swiss Auditors AG
Street: Bahnhofstrasse 3
Zip Code / City: 8808 / Pfäffikon SZ
Phone: +41 55 415 54 70
Email: info@swa-audit.ch
Website: www.swa-audit.ch

Ombudsman

The financial institution is affiliated with the independent ombudsman Financial Services Ombudsman (FINSOM), which is recognized by the Federal Department of Finance. Disputes concerning legal claims between the client and the financial institution should be settled by an ombudsman's office, if possible, within the framework of a mediation procedure. The address of FINSOM is given below.

Ombudsman: Financial Services Ombudsman (FINSOM)
Street: Avenue de la Gare 66
Zip Code / City: 1920 / Martigny
Phone: +41 27 564 04 11
Email: info@finsom.ch
Website: https://finsom.ch/en/

B. Information on the Financial Services Offered

The financial institution provides portfolio management services to its clients.

The financial institution also acts as portfolio manager of the Oyat Investment Fund, a Swiss contractual fund for qualified investors whose fund management company is 1741 Fund Solutions AG. For further information on the fund, including its risks, costs and operating procedures, please refer to the prospectus with integrated fund contract and the annual reports, available at www.swissfunddata.ch.

The financial institution does not guarantee any return or performance of its investment activities. The investment activity can therefore lead to an appreciation or a depreciation in value.

C. Client Segmentation

Financial service providers are required to classify their clients into client segments as defined by law and to comply with the rules of conduct applicable to each segment. The Financial Services Act provides for «retail clients», «professional clients» and «institutional clients» . For each client, a client classification is determined within the framework of the cooperation with the financial institution. Subject to certain conditions, the client may change the client classification by opting out or opting in (Art. 5 FinSA).

D. Information on Risks and Costs

General risks associated with transactions in financial instruments

The portfolio management services involve financial risks. Information on the general risks associated with financial instruments is provided in the brochure «Risks Involved in Trading Financial Instruments» published by the Swiss Bankers Association, available at www.swissbanking.ch. Clients of the financial institution may contact their client advisor at any time if they have any questions.

Risks associated with the services offered

For a description of the various risks that may arise from the investment strategy for clients' assets, please refer to the relevant portfolio management agreement.

Information on costs

A fee is charged for the services rendered, which is usually calculated on the assets under management. For more detailed information, please refer to the relevant portfolio management agreement. Fees and costs of the Oyat Investment Fund are set out in its prospectus with integrated fund contract.

E. Information about Relationships with Third Parties

In connection with the financial services offered by the financial institution, economic ties may exist with third parties. Payments from third parties (e.g. retrocessions, commissions or other inducements) that the financial institution may receive in connection with its services are disclosed to clients in advance, including their nature and scope, and are passed on to the client unless the client has expressly waived them (Art. 26 FinSA). Details are set out in the respective portfolio management agreements. As

portfolio manager of the Oyat Investment Fund, the financial institution is remunerated out of the management fee charged to the fund, as disclosed in the fund's prospectus.

F. Conflicts of Interest

Conflicts of interest may arise between the financial institution, its employees and its clients, or between clients, for example through employees' own transactions. The financial institution has taken appropriate organisational measures to identify and avoid such conflicts or, where they cannot be avoided, to prevent clients from being disadvantaged (Art. 25 FinSA).

Last updated: November 2026.